



Leonard J. Essig

Securities, Capital Markets & Investments Practice Group
Leader

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Len Essig provides strategic counsel to companies and financial institutions navigating complex regulatory, transactional, and governance matters.

Len serves as Practice Group Leader for the Securities, Capital Markets & Investments practice group at Lewis Rice. As a corporate attorney, Len advises companies across a wide range of industries on general corporate and securities matters, including corporate governance, public company reporting and compliance, mergers and acquisitions, and public and private securities offerings.

His practice also includes advising financial institutions, investment advisers, and other financial service providers on regulatory compliance and enforcement, executive compensation, mergers and acquisitions, and the structure, authority, and operations of their organizations and affiliates.

Prior to joining Lewis Rice in 1993, Len served as an attorney for the Office of Thrift Supervision in Washington, D.C. He is a frequent speaker on banking and financial services topics.

Len has been recognized by his peers for inclusion in *Best Lawyers*® from 2013 to 2026 and was named “*Lawyer of the Year*” for *Corporate Law* in 2023 by *Best Lawyers*®.

Education

- University of North Carolina at Chapel Hill School of Law (J.D., 1989)
- Duke University (M.A., 1989)
 - Terry Sanford Institute of Public Policy
- University of Missouri at Columbia (B.A., 1985)
 - Political Science

Legal Background

- Senior attorney, Office of Thrift Supervision, Office of Chief Counsel, Corporate and Securities Division (1989-1993)

Services

- Securities, Capital Markets & Investments
- Banking & Financial
- Mergers & Acquisitions
- Corporate Strategies

Professional Affiliations

- American Bar Association, Section on Business Law
- Bar Association of Metropolitan St. Louis
- Missouri Bankers Association

Admissions

- Missouri Bar
- North Carolina Bar

Charitable & Civic Involvement

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Board of Trustees, Benedictine Health Foundation (2020-present)

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Board of Trustees, Nazareth Living Center (2014-present)

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Board of Directors, Shooting Star Productions (Youth Theatre) (2010-present)